



ANNEX 4

Third-party Audit Evaluation Grid

ICZM SAS PROPOSAL

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Type of application	<input type="checkbox"/> First application <input type="checkbox"/> Renewal for year <.....> First certification awarded on the ../../..
Application no.	<.....>
Application received on the	../../..
Name of the activity	<.....>
Official name of the entity applying for the ICZM certification	<.....>
Contact person and contacts	<i>Name and surname</i> <.....> <i>Email:</i> <.....> <i>Phone number:</i> <.....> <i>Mob. number:</i> <.....>
Person in charge for the evaluation of the Application no. <.....>	<i>Name and surname</i> <.....> <i>Email:</i> <.....> <i>Phone number:</i> <.....> <i>Mob. number:</i> <.....>

Section 1: Details of the applicant

	< Notes >
<input type="checkbox"/> YES <input type="checkbox"/> Partially <input type="checkbox"/> NO	Request for integrations (if any):

Section 2: Operative and geographic extent

DESCRIPTION OF THE ACTIVITY	All information requested is provided and is satisfactory	Request for integrations (if any):
<p>What is the purpose of the initiative or of the activity?</p> <p><i>Please provide an exhaustive description of your organization and the overall and specific objectives of the activity and of expected results. Please provide information about methodologies expected to be applied, the target groups and final beneficiaries. Please also provide information on the temporal framework of implementation of the initiative.</i></p> <p><i>For economic activities applying for the ICZM label for the whole business, it is important to also provide information on the size of the economic operator (e.g. SME, big enterprise), given services and products, type of clients and suppliers and, if applicable, of different departments.</i></p>	<input type="checkbox"/> YES <input type="checkbox"/> Partially <input type="checkbox"/> NO

AREA OF FOCUS	All information requested is provided and is satisfactory	Request for integrations (if any):
<p>What are the "boundaries" defining the scope of your intervention or of your activity?</p> <p><i>Please provide a description of the area of focus, by clearly stating if it represents a restricted or large portion of the coastal zone, the entire coastal zone as defined by authorities, if it exceeds coastal zone limits and/or administrative levels (e.g. different municipalities, at national level, transboundary level). Please also define if the area of focus considers the entire ecosystem or a portion of it [if relevant, please also indicate if the ecosystem approach has been applied, and if so, to what extent].</i></p>	<input type="checkbox"/> YES <input type="checkbox"/> Partially <input type="checkbox"/> NO

Section 3: Eligibility requirements

ELIGIBILITY REQUIREMENTS

Are you eligible to apply?

The ICZM SAS relies on the fact that the activity is compliant with plans and regulations. The self-declaration has to be signed and turned back together with the report (the template of the declaration is provided in the ICZM SAS certification set of documents for the applicant).

Hereafter please indicate plans, laws and regulations to which your activity is subject by summarizing relevant information related to nulla osta and authorizations to which your activity is subject as detailed in the self-declaration, referring as well (if applicable) to monitoring programs or periodic checks, and how collected data is transmitted to the competent authority.

Please note that you are not requested to submit any compliance documents at this stage. However, the Competent body reserves the right to request supporting documents, if necessary.

All information requested is provided and is satisfactory

- YES
- Partially
- NO

< Notes >

Request for integrations (if any):

The self-declaration has been filled in and signed and is attached to this report ...

- YES
- NO

Section 4: ICZM-related boundary enabling conditions

GOVERNANCE

What are the official coastal priorities that the activity is addressing?

What is the level of the awareness and support from the key stakeholders in relation to these priorities? How does your initiative/activity benefit or is going to benefit from them?

Provided that stakeholders are entitled to promoting appropriate coordination between the various authorities competent for both the marine and the land parts of coastal zones in the different administrative services, at all relevant levels, and to ensuring appropriate governance schemes, in particular cross-sectorial and multi-level institutional coordination and proper participation (for example by promoting and implementing cross-sectorally organized institutional consultation, negotiation and multi-party agreements), please describe the level of awareness and support from the overall governance scheme implemented by relevant stakeholders in the coastal zone in relation to your initiative/activity and related priorities (from plans, programmes, etc.)

All information requested is provided and is satisfactory

- YES
 Partially
 NO

< Notes >

Request for integrations (if any):

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Supporting documents provided are satisfactory.

- YES
 Partially
 NO

TERRITORIAL BACKGROUND KNOWLEDGE AND BASELINES

How and to what extent does the initiative/activity take into account and benefit from territorial, social and environmental background information, data and knowledge defined and shared by the stakeholders?

Provided that an effective management of coastal zones must be based not only on an analysis of individual activities and their impacts, but also on the combined effects of sectoral activities on each other and on coastal resources, the availability of data and information, such as baselines/initial state of societal and environmental conditions, indicators, risk assessments and maps, is fundamental for the definition of actions towards continuous improvement and devoted to sustainable development.

Please describe which are the relevant studies, database, monitoring programmes, indicators made available by relevant institutions (decision-makers, research institutions) in relation to your initiative/activity and useful for your activities for the definition of new development strategies and improvements.

All information requested is provided and is satisfactory

- YES
 Partially
 NO

< Notes >

Request for integrations (if any):

...

Supporting documents provided are satisfactory

- YES
 Partially
 NO

Section 5: Qualifying quantitative indicators

Descriptor 1: Vision and commitment

Indicator 1.1

VISION AND MISSION

Provided that a clear vision/mission (or alike) of the activity is defined, how and to what extent does it consider or take into account the ICZM objectives and principles contributing to the sustainable development of coastal zones by:

- 1.1.1 supporting and promoting sustainable livelihood
- 1.1.2 sustainably using natural resources
- 1.1.3 preserving coastal ecosystems, landscapes and seascapes
- 1.1.4 preventing and/or reducing the risks and negative impacts of activities and infrastructure on the coastal zone
- 1.1.5 preventing and/or reducing the effects of natural hazards, in particular of climate change
- 1.1.6 strengthening social capital, including the use of traditional knowledge
- 1.1.7 preserving cultural heritage.

By describing the vision and mission of the activity, please clearly highlight which aspects related to ICZM objectives and principles listed above are taken into account. If applicable, please include reference to alignments to any policy, strategy or programme relevant for the management of coastal zone in your area that shall be detailed in the following indicator 2.5.

Please provide evidence of vision and mission of the initiative/activity (e.g. link to the website).

All information requested is provided and is satisfactory

YES

Partially

NO

< Notes >

Supporting documents provided

are satisfactory

YES

Partially

NO

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Request for integrations (if any):

Indicator 1.2

COMMITMENT

By reference to the vision and mission (taking into account ICZM objectives and principles), please explain if and to what extent the activity is formally committed towards the implementation of the vision by defining specific (SMART) objectives, and list those objectives. Please state if performance indicators are defined by describing the type and short-term and/or long-term targets.

All information requested is provided and is satisfactory

YES

Partially

NO

< Notes >

Supporting documents provided

are satisfactory

YES

Partially

NO

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Request for integrations (if any):

Descriptor 2: Instruments and measures for implementation of vision and commitments

Indicator 2.1

COASTAL ZONES SUSTAINABLE DEVELOPMENT MEASURES

What measures relating or contributing to ICZM principles and objectives are considered, implemented and/or maintained over time within your activity?

- 2.1.1 Measures related to the support and the promotion of sustainable livelihood
- 2.1.2 Measures related to a sustainable use of natural resources
- 2.1.3 Measures related to the preservation of coastal ecosystems, landscapes and seascapes
- 2.1.4 Measures related to the prevention and/or reduction of risks and negative impacts of activities and infrastructure on the coastal zone
- 2.1.5 Measures related to the prevention and/or reduction of the effects of natural hazards, in particular of climate change
- 2.1.6 Measures related to social capital.

Provided that the activity, according to the vision and the plan of activities, expects to undertake measures concerning ICZM principles and objectives, including, but not limited to, measures to reduce or control emissions into water or atmosphere, reduction or better management of waste products, lower production of CO₂ or CO₂ capture systems, and use of better technologies, please describe how many and which measures have been or are planned and are envisaged to be implemented.

All information requested is provided and is satisfactory

- YES
 Partially
 NO

< Notes >

Request for integrations (if any):

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Supporting documents provided are satisfactory

- YES
 Partially
 NO

Indicator 2.2

CAPACITY BUILDING

Does the activity plan to implement a training programme related to ICZM objectives and principles?

Please describe if and to what extent the activity performs or is going to perform training activities (either organized and implemented in the framework of the activity itself, or organized by a third party). It can be referred to generic topics (like ICZM process) or specific issues (better use of some technologies, waste management procedures, climate change specific issues, energy saving, etc.) If yes, please describe the topic and final beneficiaries. Please state if the training activity is implemented once or periodically.

All information requested is provided and is satisfactory

- YES
 Partially
 NO

< Notes >

Request for integrations (if any):

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Supporting documents provided are satisfactory

- YES
 Partially
 NO

Indicator 2.3

NETWORKING AND KNOWLEDGE SHARING

Does the activity foresee and/or implement or is going to consider implementing networking activities, exchange of data and experiences for the achievement of its vision/mission?

If applicable, please describe which networking activities are foreseen or implemented. Please specify

- *type of entities you are networking with (e.g. professionals, public entities/authorities, research centres, association) also addressing vertical integration*
- *sector from which the other entities are (horizontal integration)*
- *topics and ICZM-related aspects tackled within networking activities (e.g. basic knowledge and experience sharing, research and innovation, strategic and methodology development).*

Please indicate if networking is limited to the implementation time frame of the activity or if expecting to create a stable network.

For private activity, please indicate if networking is a standard tool used by the company/entity or if it relates to specific activities (limited in time and specific needs).

All information requested is provided and is satisfactory

- YES
 Partially
 NO

< Notes >

Supporting documents provided are satisfactory

- YES
 Partially
 NO

Request for integrations (if any):

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Indicator 2.4

PARTICIPATORY APPROACH/PLANNING

Has your activity ever used or intends to use a participatory approach or planning for the definition of the development strategy?

By reference to the vision or objective, please describe if and to what extent the activity used any kind of participatory approach or participatory planning method in its implementation. Please describe who has been involved and in which way.

All information requested is provided and is satisfactory

- YES
 Partially
 NO

< Notes >

Supporting documents provided are satisfactory

- YES
 Partially
 NO

Request for integrations (if any):

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Indicator 2.5

COHERENCE BETWEEN PUBLIC AND PRIVATE ACTIVITIES

1. For private activities:

Is the activity based on public objectives towards sustainable management of coastal areas?

All information requested is provided and is satisfactory

- YES
 Partially
 NO

< Notes >

2. For public activities:

- Does the activity support involvement of private entities for achieving public objectives towards sustainable management of coastal areas?
- Does the activity ensure coherence between different policy documents, strategies, plans and/or programmes?

Request for integrations (if any):

Supporting documents provided

are satisfactory

- YES
 Partially
 NO

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Please detail alignment to any policy, strategy or programme relevant for the management of coastal zone in your area. Please consider any type of policy, strategy and programme defined at the local, regional and national level applicable for your activity. Please also describe, as far as possible, how and in which way those policies, strategies and programmes have been considered in your activity or in the design of your activity and/or to what extent they can be applicable to the whole activity or only a portion of the activity.

If applicable, please also indicate if, with reference to the above-mentioned policies, strategies and programmes, your activity relies or is intended to rely on existing or proposed public-private partnerships, by indicating the purpose of the partnership, sector of application and duration (e.g. stable or temporary).

Indicator 2.6

ASSESSMENTS

Have you ever done or are you planning to do ICZM related assessments (such as Environmental Impact Assessment, Life Cycle Analysis, Carbon Foot Print) for the definition and/or implementation of your activity?

All information requested is provided and is satisfactory

- YES
 Partially
 NO

< Notes >

Please refer to any type of assessment related to ICZM objectives and principles (ref. to indicator 1.1) by describing:

- *which kind of assessment(s) has/have been done*
- *in the framework of which occasion (e.g. the planning of activities/operations, authorization process, to define targeted objectives)*
- *if that assessment(s) has/have been done once or if they are repeated over time (e.g. on an annual basis).*

Please include in the description which aspects have been considered and refer, as far as possible, to standard methods of analysis, for example, Environmental Impact Assessment, Life Cycle Analysis, and Carbon Foot Print.

Request for integrations (if any):

Supporting documents provided

are satisfactory

- YES
 Partially
 NO

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Indicator 2.7

FINANCIAL ENGAGEMENT

Has your activity considered financial allocation related to ICZM objectives and principles?

With reference to indicator 2.1, please provide information on financial allocation of the activity related to the implementation of coastal zone sustainable development measures. Please clearly highlight which aspects related to ICZM objectives and principles (ref. to indicator 1.1) are financed (in % and total amount per year).

All information requested is provided and is satisfactory
 YES
 Partially
 NO

< Notes >

Request for integrations (if any):

Supporting documents provided are satisfactory
 YES
 Partially
 NO

Descriptor 3: Monitoring and Data Sharing & Transparency

Indicator 3.1

MONITORING AND OBSERVATION MECHANISMS

3.1.1 Is the activity implementing any voluntary monitoring/observation mechanism of the progress on its commitments relevant to ICZM objectives and principles?

If applicable, please describe which monitoring and/or observation mechanism is implemented. Please specify if it is publicly available.

3.1.2 If not, is the activity going to or is willing to implement any voluntary monitoring/observation mechanism of the progress on its commitments relevant to ICZM objectives and principles?

Please specify the observation mechanism under assessment or planned, by giving some description on the modalities (how) and time frame (when). Please specify if it will be publicly available.

All information requested is provided and is satisfactory
 YES
 Partially
 NO

< Notes >

Request for integrations (if any):

Supporting documents provided are satisfactory
 YES
 Partially
 NO

**Indicator 3.2
DATABASE**

3.2.1 Is the activity creating and maintaining a dedicated database in relation to ICZM objectives and principles?

If yes, please describe the database and how data is incorporated and kept updated. Please provide a short description of the main information included.

3.2.2 If not, is the activity planning to implement in the future any dedicated database in relation to ICZM objectives and principles?

If applicable, please provide details of the envisaged expected database and how data is incorporated and kept updated. Please provide a short description of the main information expected to be included.

All information requested is provided and is satisfactory

- YES
 Partially
 NO

< Notes >

Request for integrations (if any):

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Supporting documents provided are satisfactory

- YES
 Partially
 NO

**Indicator 3.3
DATA SHARING AND TRANSPARENCY**

Is the activity publishing or sharing environmental and/or social aspects/data related to:

3.3.1 monitoring/observation mechanism of the progress on its commitments relevant to ICZM objectives and principles

3.3.2 database in relation to ICZM objectives and principles.

If yes, please specify if this information is publicly available and give the related documentation/proof of it.

All information requested is provided and is satisfactory

- YES
 Partially
 NO

< Notes >

Request for integrations (if any):

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...

Supporting documents provided are satisfactory

- YES
 Partially
 NO

FOSTERING PARTNERSHIPS ACROSS THE ADRIATIC SEA

For coastal sustainability in Albania and Italy

CAMP Otranto is the first transboundary project since the launch of the Coastal Areas Management Programme (CAMP) in 1989. The project's main objective is to test the CAMP methodology at a transboundary scale in the Otranto Strait, including marine areas within and beyond national jurisdiction which affect both Albania and Italy regardless of different natural, juridical and socio-economic conditions. By tackling coastal and marine environmental challenges in this very sensitive part of the Mediterranean basin, the project contributes to the development of sustainable coastal management, sharing know-how and modelling best practice.

FOR ADDITIONAL RESOURCES AND DETAILS ON CAMP PROJECTS,
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